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PATENT APPLICATION

ATTORNEY DOCKET NO. 10014420-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kemal GULER et al.

Confirmation No.: 2098

Application No.: 09/904,311

Examiner: A. L. Bashore

Filing Date: 07/11/2001

Group Art Unit: 3624

Title: JOINT ESTIMATION OF BIDDERS' RISK ATTITUDES AND PRIVATE INFORMATION

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
() Statement under 37 CFR 1.97(e), or
(X) a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e)(1) or (2), and
() a \$180.00 fee set forth in 37 CFR 1.17(p).
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(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 06/13/2005
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- () I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____
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Typed Name: Christina L. Paz

Signature: Christina L. Paz

Respectfully submitted,

Kemal GULER et al.

By Ellen Baker

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| | |
|------------------------|--------------------|
| Application Number | 09/904,311 |
| Filing Date | 07/11/2001 |
| First Named Inventor | Kemal GULER et al. |
| Art Unit | 3624 |
| Examiner Name | A. L. Bashore |
| Attorney Docket Number | 10014420-1 |

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | AA | E. Maskin et al., "Optimal Auctions With Risk Averse Buyers," Econometrica, Vol. 52, No. 6, November, 1984, pp. 1473-1518. | |
| | AB | E. Silberberg, "The Structure of Economics, A Mathematical Analysis," Second Edition, Chapters 13 & 13.3, Copyright 1990 McGraw Hill, Inc., pp. 440-461. | |
| | AC | J. W. Pratt, "Risk Aversion in the Small and in the Large," Econometrica, Vol. 32, No. 1-2, January-April 1964), pp. 122-136. | |
| | AD | W. Nicholson, "Microeconomic Theory, Basic Principles and Extensions," Seventh Edition, Chapter 8, Copyright 1978 The Dryden Press, pp. 211-241. | |
| | AE | P. R. Milgrom et al., "A Theory of Auctions and Competitive Bidding," Econometrica, Vol. 50, No. 5, September, 1982, pp. 1089-1122. | |
| | AF | R. P. McAfee et al., "Auctions and Bidding," Journal of Economic Literature, Vol. XXV, June 1987, pp. 699-733. | |
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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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